CAPITALMIND MUTUAL FUND

Annual Report on Compliance Status with Stewardship Principles for FY 2025-2026

Disclosure of Annual report on compliance status with stewardship principles as per SEBI circular no. CIR/CFD/CMDI/168/2019 dated Dec 24, 2019

Sr No.	Particulars of Stewardship Policy	Status (Complied, Partially complied, Not complied)	Justification for Partially complied/ non-complied
1	Discharge of Stewardship Responsibilities	Complied with. The Investment Committee oversees stewardship responsibilities—including formulation and website disclosure of the Stewardship Code—and discharges them primarily through diligent voting on shareholders' resolutions to enhance value creation, supported by research-driven engagement with investee companies on performance, strategy, corporate governance, and capital structure, guided by the interest of unitholders.	Not Applicable
2	Managing conflicts of interest	The AMC identifies and manages potential conflicts of interest—arising from situations such as the Investee Company being a client, group associate, or one where a director/KMP holds personal interest—through measures including blanket bans, adherence to the approved voting policy, segregation of voting from sales/client-relations functions, Investment team resolution of ambiguous cases, with unitholders' interest upheld as paramount in the event of any conflict	Not Applicable
3	Monitoring of Investee Companies	Complied with. The Investment team undertakes continuous, data-driven monitoring of Investee Companies calibrated to the size	Not Applicable

		of the AMC's/scheme's investment, adopting a quantitative approach that weighs multiple factors—financial performance, corporate governance, strategy, and risk—supported by extensive research drawn from publicly available sources including annual reports, research reports, media coverage, and management disclosures/interactions.	
4	Active Intervention in the Investee Company	Complied with. During the period, the AMC did not seek insider status in any Investee Company, and no circumstances arose warranting intervention under the applicable shareholding/AUM thresholds or on grounds of material governance, financial, or ESG concerns.	Not Applicable
5	Voting and disclosure of voting activity	Complied with, AMC has duly participated in the voting activity during the period and has disclosed on the AMC website on a quarterly basis.	Not Applicable
6	Reporting of Stewardship Activities	Complied with, Capitalmind Mutual Fund has complied reported its stewardship activities by disclosing the Voting report and scrutinisers certificate on the AMC's website and the disclosure of voting activity and auditors certificate will be made available along with the annual report within the prescribed due dates	Not Applicable